

## BUNDLE HOMOGENEITY AND HOLOMORPHIC CONNECTIONS

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1. Let  $\xi : G \rightarrow P \xrightarrow{\pi} M$  be a holomorphic principal fiber bundle with group  $G$ , total space  $P$ , base space  $M$  and projection  $\pi$ . Let  $a(M)$  be the Lie algebra of all holomorphic vector fields on  $M$ , and let  $b(\xi)$  be the space of all  $R_g$  invariant elements of  $a(P)$ . (By  $R_g$  we mean the map  $R_g : P \rightarrow P$  given by  $R_g(p) = p^g$ .) Let  $\pi_* : b(\xi) \rightarrow a(M)$  be the obvious projection. We say that  $\xi$  is bundle homogeneous if  $\pi_*$  is onto. The purpose of this paper is to study the relation between the bundle homogeneity of  $\xi$  and the existence of a holomorphic connection on  $\xi$ .

In § 2 we fix notation, and in § 3 we gather together the various definitions of a holomorphic connection and show that they are equivalent. This equivalence is well-known but does not seem to be written down anywhere.

In § 4 we prove

**Theorem 4.1.** *If  $\xi$  has a holomorphic connection, then  $\xi$  is bundle homogeneous.*

We also show that the converse of Theorem 4.1 is false in general, but we prove

**Theorem 4.5.** *Let  $M$  be complex parallelizable. Then  $\xi$  is bundle homogeneous if and only if  $\xi$  admits a holomorphic connection.*

If  $M$  is compact, Theorem 4.1 is due to A. Morimoto [9]. In the case where  $M$  is a complex torus, Theorem 4.5 was proven independently by Y. Matsushima [6] and S. Murakami [10].

Recall that a real product bundle is a holomorphic principal fiber bundle which admits a  $C^\infty$  cross-section [7]. In § 5, we obtain a necessary condition for a real product bundle to be bundle homogeneous. This condition is also sufficient if  $M$  is compact (Theorem 5.2), and we also obtain some information about the kernel of  $\pi_*$  in this case.

Since Dolbeault cohomology is not a homotopy invariant (Corollary 6.1), we are able in § 6 to apply the results of the previous sections to construct an example of a real product bundle with (noncompact) Kähler base which does not admit a holomorphic connection. Because there are no topological obstructions on a real product bundle, this example shows that the Atiyah obstruction

[1] is not a topological invariant, and also that in general the existence of a holomorphic connection does not depend only on the topological structure of the bundle [8].

2. We now recall some basic definitions and theorems about holomorphic connections. Suppose that  $G$  is a complex Lie group,  $M$  and  $P$  are complex manifolds, and  $G$  acts freely and holomorphically on  $P$  (on the right). We write  $p^g$  for the action of  $g \in G$  on  $p \in P$ , and  $R_g: P \rightarrow P$  for  $R_g(p) = p^g$ . We say that  $\xi: G \rightarrow P \xrightarrow{\pi} M$  is a *holomorphic principal fiber bundle* if  $P$  is locally biholomorphically equivalent to  $M \times G$ . This means (i)  $M$  is the quotient space of  $P$  under the action of  $G$ , (ii) there are an open cover  $\{U_\gamma\}$  of  $M$  and biholomorphic homeomorphisms  $\psi_\gamma: \pi^{-1}(U_\gamma) \rightarrow U_\gamma \times G$  which commute with the action of  $G$  such that

$$\begin{array}{ccc} \pi^{-1}(U_\gamma) & \xrightarrow{\psi_\gamma} & U_\gamma \times G \\ \pi \searrow & & \swarrow pr_1 \\ & U_\gamma & \end{array}$$

commutes (where  $pr_1$  is projection in the first coordinate), (iii)  $\pi$  is holomorphic. We shall write  $T_m M$  for the complex tangent space of  $M$  at  $m$  (i.e.,  $Z_m \in T_m M$  means  $Z_m = X_m + iY_m$  where  $X_m$  and  $Y_m$  are real tangent vectors at  $m$  in the usual sense), and  $\phi_*$  for the differential of the map  $\phi$ . We define the vertical  $(\ker \pi)_p$  at  $p$  by

$$(\ker \pi)_p = \{X_p \in T_p(P) \mid \pi_*(X_p) = 0\}.$$

Let  $G$  be a complex Lie group of complex dimension  $r$  with complex structure  $J_G$ . We denote by  $\mathfrak{g}$  the Lie algebra of all left invariant real vector fields on  $G$ , considered as a real Lie group, by  $\mathfrak{g}_0$  the Lie algebra of all holomorphic left invariant vector fields on  $G$ , and by  $\mathfrak{g}^C$  the complexification of  $\mathfrak{g}$ , i.e.  $\mathfrak{g}^C$  is the Lie algebra of all left invariant complex vector fields on  $G$ . We may also regard  $\mathfrak{g}^C$  as a complex manifold with complex structure  $\hat{J}$ . We shall use  $A^1(M, \mathfrak{g}^C)$  for the vector space of all Lie algebra valued one-forms on  $M$ .  $A^1(M, \mathfrak{g}^C)$  may be written as  $A^{(1,0)}(M, \mathfrak{g}^C) \oplus A^{(0,1)}(M, \mathfrak{g}^C)$  where

$$\begin{aligned} A^{(1,0)}(M, \mathfrak{g}^C) &= \{\omega \in A^1(M, \mathfrak{g}^C) \mid \omega(J_M A) = \hat{J}\omega(A) \text{ for all } A \in TM\}, \\ A^{(0,1)}(M, \mathfrak{g}^C) &= \{\omega \in A^1(M, \mathfrak{g}^C) \mid \omega(J_M A) = -\hat{J}\omega(A) \text{ for all } A \in TM\}. \end{aligned}$$

If  $h: M \rightarrow \mathfrak{g}$  is smooth, then  $h$  induces a map  $dh: TM \rightarrow \mathfrak{g}^C$ , i.e.,  $dh \in A^1(M, \mathfrak{g}^C)$ , so that we may write  $dh$  as  $dh = \partial h + \bar{\partial} h$  where  $\partial h \in A^{(1,0)}(M, \mathfrak{g}^C)$  and  $\bar{\partial} h \in A^{(0,1)}(M, \mathfrak{g}^C)$ . If  $2\omega_1(A) = dh(A) - \hat{J}dh(J_M A)$  and  $2\omega_2(A) = dh(A) + \hat{J}dh(J_M A)$ , then  $\omega_1 \in A^{(1,0)}(M, \mathfrak{g}^C)$ ,  $\omega_2 \in A^{(0,1)}(M, \mathfrak{g}^C)$  and  $dh = \omega_1 + \omega_2$ . Therefore  $2\bar{\partial} h(A) = dh(A) + \hat{J}dh(J_M A)$  or

$$(2.1) \quad 2\hat{J}\delta h(A) = \hat{J}dh(A) - dh(J_M A) .$$

If  $a \in G$ , then  $\text{ad}(a): \mathfrak{g}^C \rightarrow \mathfrak{g}^C$  will be the usual adjoint map.

If  $M$  is a complex manifold, then in a coordinate neighborhood  $U$  we know that  $\{\partial/\partial x^k, \partial/\partial y^k \mid k = 1, \dots, n\}$  forms a basis for  $T_m M$  at each point  $m \in U$ . We define

$$\partial/\partial z^k = \frac{1}{2}(\partial/\partial x^k - i\partial/\partial y^k) , \quad \partial/\partial \bar{z}^k = \frac{1}{2}(\partial/\partial x^k + i\partial/\partial y^k) .$$

Let  $T_m^{1,0}M = \{Z \in T_m M \mid JZ = iZ\}$ , and  $T_m^{0,1}M = \{Z \in T_m M \mid JZ = -iZ\}$ . Then  $T_m M = T_m^{1,0}M \oplus T_m^{0,1}M$ , and  $\{(\partial/\partial z^k)_m \mid 1 \leq k \leq n\}$  (resp.  $\{(\partial/\partial \bar{z}^k)_m \mid 1 \leq k \leq n\}$ ) forms a basis for  $T_m^{1,0}M$  (resp.  $T_m^{0,1}M$ ) at  $m \in U$ . A vector field  $Z$  is called a *holomorphic vector field* if  $Z_m \in T_m^{1,0}M$  and in any coordinate chart  $Z_m = \sum_{j=1}^n f^j(m)(\partial/\partial z^j)_m$  for some holomorphic functions  $f^j$ .

We shall now describe the standard embedding of  $\mathfrak{g}^C$  onto the vertical. For  $p \in P$  let  ${}^p\Phi: G \rightarrow P$  be defined by  ${}^p\Phi(g) = p^g$ . We then define  $\Theta_p: \mathfrak{g}^C \rightarrow (\ker \pi)_p$  by  $\Theta_p(A) = ({}^p\Phi)_* (A)$ , where the differential is evaluated at  $e \in G$  and we have identified  $\mathfrak{g}^C$  and  $T_e G$  in the usual manner.

**Proposition 2.1.** (a)  $\Theta_p: \mathfrak{g}^C \rightarrow (\ker \pi)_p$  is an isomorphism of vector spaces for each  $p \in P$ .

(b) If  $A \in \mathfrak{g}_0$ , then the vector field  $p \rightarrow \Theta_p(A)$  is a holomorphic vector field.

*Proof.* (a) follows as in the  $C^\infty$  case [3, p. 51].

(b) The fact that  $\Theta_p(A)$  is of type (1, 0) follows from [4, p. 179]. If  $(w_1, \dots, w_r)$  and  $(z_1, \dots, z_n)$  are the coordinates about  $e \in G$  and  $p \in P$  respectively, then we may write

$$\Phi(z_1, \dots, z_n, w_1, \dots, w_r) = (\Phi^1(z, w), \dots, \Phi^n(z, w))$$

with  $\Phi^k$  holomorphic functions, and so

$$\Theta_p \left( \frac{\partial}{\partial w_j} \right)_e = \sum_{k=1}^n \frac{\partial \Phi^k}{\partial w_j} (p, e) \left( \frac{\partial}{\partial z_k} \right)_p ,$$

which is clearly a holomorphic vector field because  $\frac{\partial \Phi^k}{\partial w_j} (p, e)$  is a holomorphic function of  $p$ .

**3.** A *connection* on  $\xi$  is a distribution  $H: p \rightarrow H_p$  in  $P$  such that (1)  $T_p P = (\ker \pi)_p \oplus H_p$ , and (2)  $(R_a)_* H_p = H_{pa}$ . The connection 1-form  $\omega \in A^1(P, \mathfrak{g}^C)$  is defined as follows: Any  $X \in TP$  may be written as the sum of  $hX \in H$  and  $vX \in \ker \pi$ .  $hX$  is called the horizontal part of  $X$ , and  $vX$  the vertical part of  $X$ . Let  $\omega_p(X) = \Theta_p^{-1}(vX)$  where  $\Theta$  is as in Proposition 2.1. The following proposition is quite easy and allows us to call a connection either a distribution as in the definition above or a  $\mathfrak{g}^C$ -valued 1-form satisfying the two conditions of Proposition 3.1.

**Proposition 3.1.** *If  $\omega$  is the connection 1-form of a connection, then*

- (1)  $\omega_p(\Theta_p(A)) = A$  for all  $A \in \mathfrak{g}^G$ ,
- (2)  $(R_g^*\omega)(X) = (\text{ad } g^{-1})(\omega(X))$  for all  $X \in TP$  and  $g \in G$ .

Furthermore, if  $\omega \in \Lambda^1(P, \mathfrak{g}^G)$  satisfies (1) and (2) above, then  $\omega$  is the connection given by

$$H_p = \{X \in T_pP \mid \omega_p(X) = 0\}.$$

A connection  $H$  is of type  $(1, 0)$  if  $JH_p = H_p$  for all  $p \in P$ . This is clearly equivalent to the condition  $\omega \in \Lambda^{1,0}(P, \mathfrak{g}^G)$  where  $\omega$  is the connection 1-form of  $H$ . A connection is a *holomorphic connection* if  $\omega$  is of type  $(1, 0)$  and  $\bar{\partial}\omega = 0$ . The following theorem (which appears to be well-known but not written down) gives the geometric content of the definition of a holomorphic connection. (Recall that if  $Z$  is a vector field on  $M$ , then the *horizontal lift*  $\tilde{Z}$  of  $Z$  is the unique vector field on  $P$  such that  $\pi_*(\tilde{Z}) = Z$  and  $\tilde{Z}(p) \in H_p$  for all  $p \in P$ .)

**Theorem 3.2.** *If  $\xi : G \rightarrow P \xrightarrow{\pi} M$  is a holomorphic principal fiber bundle, and  $H$  is a  $(1, 0)$  connection on  $\xi$ , then the following are equivalent:*

- (a)  $H$  is a holomorphic connection.
- (b) If  $W$  is any open subset of  $P$ , and  $X$  is any holomorphic vector field defined on  $W$ , then  $vX$  is also a holomorphic vector field on  $W$ .
- (c) If  $X$  is holomorphic on  $W$ , then  $hX$  is holomorphic on  $W$ .
- (d) The horizontal lift of any holomorphic vector field which is defined on any open subset  $U$  of  $M$  is a holomorphic vector field on  $\pi^{-1}(U)$ .

*Proof.* Let  $(w^1, \dots, w^r)$  be a coordinate chart in  $G$ , and  $(z^1, \dots, z^n)$  a coordinate chart in  $M$ . We may use  $(z^1, \dots, z^n, w^1, \dots, w^r)$  as a coordinate in  $P$  via the local trivialization. Suppose that  $\omega$  is the connection 1-form of  $H$ . If  $X$  is any holomorphic vector field, and  $\{e_1, \dots, e_r\}$  is a basis for  $\mathfrak{g}^G$ , then we may write locally  $\omega = \sum \omega_j^k dz^j e_k$  and

$$X = \sum f^i(z, w) \frac{\partial}{\partial w^i} + \sum h^k(z, w) \frac{\partial}{\partial z^k},$$

where  $h^k$  and  $f^i$  are holomorphic functions. Therefore

$$(1) \quad vX = \Theta\omega(X) = \sum_{j,k} h^j \omega_j^k \Theta(e_k).$$

Using Proposition 2.1 (b), it follows from (1) that  $\Theta(\omega(X))$  is holomorphic for all  $X$  if and only if  $\omega_j^k$  are holomorphic; hence (a)  $\Leftrightarrow$  (b).

The equivalence of (b) and (c) follows from  $X = vX + hX$ .

Assume (c), and suppose that  $X$  is a holomorphic vector field on  $U$  which we may assume is small enough so that  $\pi^{-1}(U)$  is trivial. We now regard  $X$  as the vector field  $(X, 0)$  on  $U \times G$ , and clearly  $\tilde{X} = h(X, 0)$ ; hence (c)  $\Rightarrow$  (d).

We now complete the proof by showing that (d)  $\Rightarrow$  (a). Because

$$\widetilde{\frac{\partial}{\partial z^j}} = \left( \frac{\partial}{\partial z^j} - \sum_k \omega_j^k \Theta(e_k) \right)$$

must be holomorphic for each  $j$  by assumption, we see that  $\omega_j^k$  must be holomorphic; hence (d)  $\Rightarrow$  (a). q.e.d.

There is an alternate formulation due to Atiyah [1]. Because we shall not need it explicitly, we shall not go into it except to say that in his formulation a holomorphic connection exists on  $\xi$  if and only if a certain element (called the *Atiyah obstruction*) is zero in a certain cohomology set. To see that this is equivalent to our definition, see [7, Proposition 3.12].

**4.** Let  $\xi : G \rightarrow P \xrightarrow{\pi} M$  be a holomorphic principal fiber bundle. Let  $a(M)$  be the Lie algebra of all holomorphic vector fields on  $M$ , and let  $b(\xi) = \{X \in a(P) \mid (R_g)_* X = X \text{ for all } g \in G\}$ . We call  $X \in b(\xi)$  an *infinitesimal bundle automorphism* of  $\xi$ . If  $X \in b(\xi)$ , then by  $\pi_*(X)$  we mean  $\pi_*(X)_m f = X_p (f \circ \pi)$  for any  $m \in M$  and  $p \in \pi^{-1}(m)$ . This is well-defined because  $(R_g)_* X = X$  for all  $g \in G$ , and is holomorphic because of the local product structure. We say that  $\xi$  is *bundle homogeneous* if  $\pi_* : b(\xi) \rightarrow a(M)$  is onto.

**Theorem 4.1.** *If  $\xi$  has a holomorphic connection, then  $\xi$  is bundle homogeneous.*

*Proof.* If  $X \in a(M)$ , then by Theorem 3.2 the horizontal lift  $\tilde{X}$  with respect to the holomorphic connection is holomorphic. On the other hand, if  $\tilde{X}(p)$  is horizontal, then so is  $(R_g)_* \tilde{X}(p)$ ; hence  $(R_g)_* \tilde{X}(p) = \tilde{X}(p^g)$ . We therefore have  $(R_g)_* \tilde{X} = \tilde{X}$  and so  $\tilde{X} \in b(\xi)$ . Clearly  $\pi_*(\tilde{X}) = X$  and so  $\pi_*$  is onto. q.e.d.

By [1, p. 188] we have

**Corollary 4.2.** *Any holomorphic principal fiber bundle whose base space is a Stein manifold is bundle homogeneous.*

Let  $M$  be compact, and let  $A(M)$  denote the identity component of the complex Lie group of biholomorphic homeomorphisms of  $M$ , and  $B(\xi)$  the identity component of the group of holomorphic bundle automorphisms (i.e.,  $B(\xi)$  is the identity component of  $\{\phi \in A(P) \mid \pi \circ \phi = \pi \text{ and } \phi \circ R_a = R_a \circ \phi \text{ for all } a \in G\}$ ). Then  $\pi : B(\xi) \rightarrow A(M)$  is defined by  $\pi(\phi)(m) = \pi(\phi(p))$  for any  $p \in \pi^{-1}(m)$ .

**Proposition 4.3** (Morimoto [9]). (a) *If  $\xi$  is bundle homogeneous, then  $\pi : B(\xi) \rightarrow A(M)$  is onto.*

(b) *If  $M$  is compact, then  $B(\xi)$  is a Lie group, and so  $\pi$  is onto if and only if  $\xi$  is bundle homogeneous.*

*Proof.* If  $f_t \in A(M)$  is a 1-parameter subgroup for all  $0 \leq t \leq 1$ , then  $f_t$  induces an element  $X$  of  $a(M)$ . Let  $\tilde{X} \in b(\xi)$  such that  $\pi_*(\tilde{X}) = X$ , and let  $\phi_t$  be the local 1-parameter subgroup generated by  $\tilde{X}$  at  $p \in P$ . To prove (a), we need only to show that  $\phi$  is a global 1-parameter subgroup because clearly  $\pi(\phi_t) = f_t$  and  $\phi_t \in B(\xi)$ . To do this we show that  $\phi_t$  is the horizontal lift of  $f_t$  with respect to some (not necessarily holomorphic) connection  $\Gamma$  on  $\xi$ .

Let  $g$  be any right  $G$ -invariant Riemannian metric on  $P$ , and  $\tilde{H}_p$  the orthogonal subspace in  $T_pP$  of  $V_p + C\tilde{X}_p$ . If  $\Gamma: p \rightarrow H_p$  is defined by  $H_p = \tilde{H}_p + C\tilde{X}_p$ , then  $\Gamma$  is the desired connection.

The statement that  $B(\xi)$  is a Lie group if  $M$  is compact is Morimoto's theorem. He also proved that the Lie algebra map induced by  $\pi$  is  $\pi_*$ , and so we have (b). q.e.d.

For compact  $M$  Theorem 4.1 is due to Morimoto [9, p. 166] who also proved

**Theorem 4.4.** *If  $M$  is a compact Kähler manifold whose first Betti number is zero and  $G$  is nilpotent, then the holomorphic principal fiber bundle  $\xi: G \rightarrow P \rightarrow M$  is bundle homogeneous.*

Both of these theorems of Morimoto are proven by using the Atiyah viewpoint. Applying Theorem 4.4 to the canonical  $C^*$  bundle  $\xi$  over  $CP^n$  we see that the converse of Theorem 4.1 is false. We can also do this constructively as follows:  $\phi \in B(\xi)$  if and only if  $\phi: C^{n+1} - \{0\} \rightarrow C^{n+1} - \{0\}$  is a holomorphic homeomorphism and  $\phi(\lambda z) = \lambda\phi(z)$  for all  $\lambda \in C^*$  and  $z \in C^{n+1} - \{0\}$ . By [2, p. 21]  $\phi$  can be extended to a map of  $C^{n+1} \rightarrow C^{n+1}$  such that  $\phi(\lambda z) = \lambda\phi(z)$  for all  $\lambda \in C$  and  $z \in C^{n+1}$ . By the standard trick this means that  $\phi \in Gl(n+1, C)$ . Clearly any  $\phi \in Gl(n+1, C)$  restricts to an element of  $B(\xi)$ , and hence  $B(\xi) = Gl(n+1, C)$ . By using a result of Lichnerowicz [5] to give us all  $A(CP^n)$ , we see that  $\pi$  is onto. Recall that a complex parallelizable  $n$ -manifold is one on which there are  $n$  holomorphic vector fields which are linearly independent at each point (see [12]). The following theorem gives a converse to Theorem 4.1.

**Theorem 4.5.** *Suppose that  $\xi: G \rightarrow P \rightarrow M$  is a holomorphic fiber bundle, and  $M$  is complex parallelizable. Then  $\xi$  is bundle homogeneous if and only if  $\xi$  admits a holomorphic connection.*

*Proof.* We need only to assume that  $\xi$  is bundle homogeneous, and to show that  $\xi$  admits a holomorphic connection. Let  $X_1, \dots, X_n \in a(M)$  be linearly independent. Let  $X_j^*$  be any element of  $b(\xi)$  such that  $\pi_*X_j^* = X_j$ , and let  $\bar{X}_j^*$  denote the complex conjugate of  $X_j^*$ . We claim that if  $H_p = \text{span of } \{X_1^*(p), \dots, X_n^*(p), \bar{X}_1^*(p), \dots, \bar{X}_n^*(p)\}$ , then  $H: p \rightarrow H_p$  is a holomorphic connection on  $\xi$ . Since  $JX_j^* = iX_j^*$  and  $J\bar{X}_j^* = -i\bar{X}_j^*$ , we see that  $H_p$  is of type  $(1, 0)$ . Since  $X_j^*$  is of type  $(1, 0)$ , there is a real tangent vector  $A$  such that  $X_j^* = A - iJA$ . Hence  $(R_g)_*X_j^* = (R_g)_*A - iJ(R_g)_*A$  and  $\bar{X}_j^* = A + iJA$ , which imply that  $(R_g)_*\bar{X}_j^* = (R_g)_*A + iJ(R_g)_*A$ , so that  $(R_g)_*\bar{X}_j^* = \overline{(R_g)_*X_j^*}$  for all  $g \in G$ . Because  $X_j^* \in b(\xi)$ , we have that  $(R_g)_*X_j^* = X_j^*$  and  $(R_g)_*\bar{X}_j^* = \overline{(R_g)_*X_j^*} = \bar{X}_j^*$ , so  $(R_g)_*H_p = H_{pg}$ . By a dimension argument, to show that  $T_pP = (\ker \pi)_p \oplus H_p$  we need only to show that  $(\ker \pi)_p \cap H_p = (0)$ , but this is clear because  $\pi_*$  is one to one on a basis of  $H_p$  by definition. Hence  $H$  is a connection of type  $(1, 0)$ .

If  $X$  is any (local) holomorphic vector field on  $M$ , then there are (local)

holomorphic functions  $f^j$  on  $M$  such that  $X = \sum_{j=1}^n f^j X_j$ , but then  $\sum_{j=1}^n (f^j \circ \pi) X_j^*$  is clearly the horizontal lift of  $X$  with respect to  $H$  and is a holomorphic vector field. Hence  $H$  is a holomorphic connection by Theorem 3.2. q.e.d.

5. A holomorphic principal fiber bundle  $\xi$  is called a *real product bundle* if  $\xi$  admits a  $C^\infty$  section (i.e., a  $C^\infty$  map  $s: M \rightarrow P$  such that  $\pi \circ s = 1_M$ ). From [7, Theorems 1.2.6 and 2.3.5] we know that every real product bundle must take the form  $\xi: G \rightarrow (M \times G)_{J^\eta} \rightarrow M$  where  $\eta \in A^{0,1}(M, \mathfrak{g}^G)$  and (for  $z \in M, \lambda \in G, A \in T_z M, B \in T_z G$ )

$$J_{z,\lambda}^\eta(A, B) = (J_M A, J_G B + (dR_\lambda)_e \eta(A)) ,$$

and  $\bar{\partial}\eta = \frac{1}{4}i[\eta, \eta]$ . We shall ask when  $\pi: B(\xi) \rightarrow A(M)$  is onto. This will give us conditions for  $\xi$  to be bundle homogeneous (see Proposition 4.3).  $\phi: M \times G \rightarrow M \times G$  is a  $C^\infty$  bundle automorphism if and only if for  $z \in M$  and  $g \in G$ ,  $\phi$  takes the form

$$(5.1) \quad \phi(z, g) = (f(z), s(z)g)$$

for some  $f \in A(M)$  and  $s: M \rightarrow G$  (not necessarily holomorphic).  $\phi$  is a bundle automorphism in this case because

$$\check{\phi}(z, g) = (f^{-1}(z), ((s \circ f^{-1})(z))^{-1}g)$$

is a  $C^\infty$  bundle map which is the inverse of  $\phi$ . It is clear from (5.1) that  $\pi(\phi) = f$ , so we must only find conditions on  $f \in A(M)$  such that there is an  $s: M \rightarrow G$  for which  $\phi$  defined by (5.1) is holomorphic with respect to  $J^\eta$ . Let  $\alpha: M \times G \rightarrow G$  be defined by  $\alpha(z, \lambda) = s(z)\lambda$ . Then  $\phi(z, \lambda) = (f(z), \alpha(z, \lambda))$ , and so (using upper dot “ $\dot{\cdot}$ ” to denote the differential), for  $A \in T_z M$  and  $B \in T_z G$ ,

$$(5.2) \quad \dot{\phi}_{z,\lambda}(A, B) = (\dot{f}_z(A), \dot{\alpha}_{z,\lambda}(A, B))$$

for  $z \in M$ . Let  ${}^s\alpha: G \rightarrow G$  be  ${}^s\alpha(\lambda) = \alpha(z, \lambda) = L_{s(z)}^s \lambda$ , and  $\alpha^s: M \rightarrow G$  be  $\alpha^s(z) = \alpha(z, \lambda) = R_\lambda \circ s(z)$ . The Leibniz formula [3] says:

$$\dot{\alpha}_{z,\lambda}(A, B) = (\dot{\alpha}^s)_z(A) + ({}^s\dot{\alpha})_\lambda(B) = \dot{L}_{s(z)}(B) + \dot{R}_\lambda \dot{s}(A) ,$$

which, together with (5.2), gives

$$(5.3) \quad \dot{\phi}_{z,\lambda}(A, B) = (\dot{f}_z(A), \dot{L}_{s(z)}(B) + \dot{R}_\lambda \dot{s}(A)) .$$

Therefore

$$(5.4) \quad \begin{aligned} J_{f(z), s(z)\lambda}^\eta \dot{\phi}_{z,\lambda}(A, B) \\ = (J_M \dot{f}_z(A), J_G(\dot{L}_{s(z)} B + \dot{R}_\lambda \dot{s}(A)) + \dot{R}_{s(z)\lambda} \eta(\dot{f}_z(A)) . \end{aligned}$$

On the other hand, (5.3) implies

$$(5.5) \quad \begin{aligned} \dot{\phi}_{z,\lambda}(J_{z,\lambda}^*(A, B)) &= \dot{\phi}_{z,\lambda}(J_M A, J_G B + \dot{R}_\lambda \eta(A)) \\ &= (\dot{j}_z(J_M A), \dot{L}_{s(z)}(J_G B + \dot{R}_\lambda \eta(A)) + \dot{R}_\lambda \dot{s}(J_M A)) . \end{aligned}$$

Comparing (5.4) with (5.5) we see that  $\phi$  is holomorphic if and only if

$$\begin{aligned} J_G \dot{L}_{s(z)} B + J_G \dot{R}_\lambda \dot{s}(A) + \dot{R}_\lambda \dot{R}_{s(z)}(f_* \eta)(A) \\ = \dot{L}_{s(z)}(J_G B + \dot{R}_\lambda \eta(A)) + \dot{R}_\lambda \dot{s}(J_M A) , \end{aligned}$$

and so we may conclude

**Proposition 5.1.** *Let  $\phi(z, \lambda) = (f(z), s(z)\lambda)$ . Then  $\phi : M \times G \rightarrow M \times G$  is holomorphic if and only if*

$$(5.6) \quad J_G \dot{s}(A) - \dot{s}(J_M A) = \dot{L}_{s(z)} \eta(A) - \dot{R}_{s(z)} f^* \eta(A)$$

for all  $z \in M$  and  $A \in T_z M$ .

Proceeding as in [7], we assume for the moment that there is a  $C^\infty$  function  $h : M \rightarrow \mathfrak{g}$  such that

$$(5.7) \quad \begin{array}{ccc} & \mathfrak{g} & \\ & \nearrow h & \downarrow \text{exp} \\ M & \xrightarrow{s} & G \end{array}$$

commutes. Let  $\hat{J}$  be the complex structure of  $\mathfrak{g}^{\mathbb{C}}$  viewed as a manifold. If  $X = h(z)$  where  $z \in M$  is fixed, then (5.6) becomes

$$d(\text{exp})_X(\hat{J}dh(A) - dh(J_M A)) = \dot{L}_{\text{exp } X} \eta(A) - \dot{R}_{\text{exp } X} f^* \eta(A) ,$$

since  $\text{exp}$  is a holomorphic map for Lie groups. Using (2.1) we thus obtain

$$2J_G d(\text{exp})_X \bar{\partial}h(A) = \dot{L}_{\text{exp } X} \eta(A) - \dot{R}_{\text{exp } X} f^* \eta(A) ,$$

and therefore, by the expression for  $d(\text{exp})$  [7],

$$2J_G d(L_{\text{exp } X})_e \circ \frac{I - e^{-\text{ad } X}}{\text{ad } X} \bar{\partial}h(A) = d(L_{\text{exp } X}) \eta(A) - dR_{\text{exp } X} f^* \eta(A) ,$$

or

$$2J_G \frac{I - e^{-\text{ad } X}}{\text{ad } X} \bar{\partial}h(A) = \eta(A) - d(L_{\text{exp }(-X)} \circ R_{\text{exp } X}) f^* \eta(A) .$$

Since  $d(L_{\text{exp }(-X)} \circ R_{\text{exp } X}) = \text{ad exp }(-X) = e^{-\text{ad } X}$ , we have



$$(5.8) \quad 2J_G \frac{I - e^{-\text{ad } h(z)}}{\text{ad } h(z)} (\bar{\partial}h(A)) = \eta(A) - e^{-\text{ad } h(z)} f^* \eta(A).$$

We say that for  $\omega, \eta \in A^{0,1}(M, \mathfrak{g}^C)$ ,  $\omega$  is *exponentially cohomologous* to  $\eta$  (and write  $\omega \sim_{\text{exp}} \eta$ ) if there is a  $C^\infty$  map  $h : M \rightarrow \mathfrak{g}$  such that

$$(5.9) \quad 2J_G \frac{I - e^{-\text{ad } h(z)}}{\text{ad } h(z)} (\bar{\partial}h(A)) = \eta(A) - e^{-\text{ad } h(z)} \omega(A).$$

We say that  $M$  has the *exponential lift property* with respect to  $G$  if for any  $s : M \rightarrow G$  there is an  $h : M \rightarrow \mathfrak{g}$  such that the diagram (5.7) is commutative.

**Theorem 5.2.** *Let  $\eta \in A^{0,1}(M, \mathfrak{g}^C)$  with  $M$  connected,  $\xi : G \rightarrow (M \times G)_{J^n} \rightarrow M$  be a real product bundle with  $J^n$  as above,  $\pi : B(\xi) \rightarrow A(M)$ , and  $f \in A(M)$ .*

- (a) *If  $f^* \eta \sim_{\text{exp}} \eta$ , then  $f \in \pi(B(\xi))$ .*
- (b) *Suppose that  $G$  has the exponential lift property. Then  $f \in \pi(B(\xi))$  if and only if  $f^* \eta \sim_{\text{exp}} \eta$ .*
- (c) *If  $G$  is abelian and  $\pi_1(M)$  is a torsion group, then  $\dim_C \ker \pi_* = 1$ .*
- (d) *Suppose  $G = C^*$ , and  $M$  is compact. Then*
  - (i)  *$f^* \eta \sim_{\text{exp}} \eta$  if and only if  $f \in \pi(B(\xi))$ , and*
  - (ii)  *$\dim \ker \pi_* = 1$ .*

*Proof.* (a) If  $f^* \eta \sim_{\text{exp}} \eta$ , then there is an  $h : M \rightarrow \mathfrak{g}$  satisfying (5.8). If  $s : M \rightarrow G$  is  $s = \exp \circ h$ , then  $s$  satisfies (5.6), and hence  $f \in \pi(B(\xi))$ .

(b) We need only to prove if  $f \in \pi(B(\xi))$  then  $f^* \eta \sim_{\text{exp}} \eta$ . By Proposition 5.1, we have a map  $s : M \rightarrow G$  satisfying (5.6). If  $h : M \rightarrow \mathfrak{g}$  is the map of diagram (5.7) (which exists by exponential lift), then by the above computation,  $h$  satisfies (5.8), and hence  $\eta \sim_{\text{exp}} f^* \eta$ .

(c) Under the hypotheses of (c), (5.8) yields that  $\pi(\phi)$  equals the identity (i.e.,  $f = 1_M$ ) if and only if there is  $h : M \rightarrow \mathfrak{g}$  such that  $2\bar{\partial}h = \eta - \eta = 0$ , which happens if and only if  $h$  is a constant. Thus  $s : M \rightarrow G$  of (5.1) must be the constant map at  $\lambda = \exp X$  for some  $X \in \mathfrak{g}$ , and therefore

$$\ker \pi = \{ \phi : M \times G \rightarrow M \times G \mid \phi(z, g) = (z, \lambda g) \text{ for some } \lambda \in \exp(\mathfrak{g}) \},$$

which implies that  $\dim \ker \pi_* = 1$ .

(d) Follows from the following proposition and lemma.

**Lemma.** *If  $G$  is abelian, then for each  $g \in G$  the map  $\beta : (M \times G)_{J^n} \rightarrow (M \times G)_{J^n}$  given by  $\beta(z, x) = (z, L_g x)$  is holomorphic.*

*Proof.*  $\hat{\beta}_{z,x}(A, B) = (A, \dot{L}_g B)$  for  $A \in T_z M$  and  $B \in T_x G$ , hence

$$J^n \hat{\beta}_{z,x}(A, B) = (J_M A, J_G \dot{L}_g B + \dot{R}_{g,x} \eta(A)),$$

$$\hat{\beta}_{z,x} J^n(A, B) = (J_M A, \dot{L}_g (J_G B + \dot{R}_x \eta(A))),$$

and so  $\hat{\beta} J^n = J^n \hat{\beta}$  if  $G$  is abelian.

**Proposition 5.3.** *Suppose that  $M$  is compact and  $G = C^*$ . Then  $s : M \rightarrow G$  satisfies (5.6) if and only if there is  $\tilde{s} : M \rightarrow G$  defined by  $\tilde{s} = L_{2r} \circ s$  and satisfying (5.6) such that  $\tilde{s}$  factors through the exponential map as in diagram (5.7).*

*Proof.* Let  $B_r(g) = \{z \in C^* \mid |z - g| < r\}$ , and assume that  $s : M \rightarrow G$  satisfies (5.6). Let  $r > 0$  be any real number such that  $s(M) \subset B_r(0)$ . If  $\tilde{s} = L_{2r} \circ s$ , then  $\tilde{s}(M) \subset L_{2r}B_r(0) = B_r(2r)$ . This means that  $\tilde{s}(M)$  never winds around the origin; that is,  $\tilde{s}(M)$  is a simply-connected subspace of  $C^*$ . Because the logarithm is well-defined on any simply-connected region in  $C^*$ ,  $\tilde{s}$  factors through the exponential map. By the above lemma, the map  $\tilde{\beta}(z, \lambda) = (f(z), \tilde{s}(z)\lambda)$  is holomorphic in the  $J^n$  structure on  $M \times G$  if and only if  $\beta(z, \lambda) = (f(z), s(z)\lambda)$  is holomorphic. *q.e.d.*

We remark that the above proposition can be used to strengthen some results in [7], e.g., for compact  $M$  with  $G = C^*$ ,  $\text{Exp } D(M, G) = 0$  if and only if  $\text{Pic}(M, G) = 0$ .

**6.** Combining Theorem 5.2 (b) and Proposition 4.3 yields

**Corollary 6.1.** *If  $\xi : C^* \rightarrow (M \times C^*)_{J^n} \rightarrow M$  is bundle homogeneous, and  $M$  has the exponential lift property with respect to  $C^*$ , then for all  $f \in A(M)$*

$$(6.1) \quad f^*\eta - \eta = \bar{\partial}h$$

for some  $h : M \rightarrow C$ . If  $M$  is compact, then the converse holds.

Observe that (6.1) says that  $A(M)$  must "act" as the identity on  $\mathcal{D}_{0,1}(M, C)$ ; however, it is known that if  $f$  is homotopic to  $g$  through complex analytic maps and  $\bar{\partial}\omega = 0$ , it is not necessarily true that  $f^*\omega - g^*\omega = \bar{\partial}l$  for some  $l : M \rightarrow C$  [11]! The example in [11] is on the Iwasawa manifold. We shall now present a different example.

If  $M = C^2 - \{(0, 0)\}$ ,  $A$  and  $B$  are complex numbers with nonzero imaginary parts such that  $AB \neq 1$ , and we define  $f_t : M \rightarrow M$

$$f_t(z_1, z_2) = \left( \frac{Az_1}{1 + (1-t)A}, \frac{Bz_2}{1 + (1-t)B} \right),$$

then  $f_t \in A(M)$ , and so in particular  $f_1 = f : M \rightarrow M$  is an element of  $A(M)$ . We define  $\eta \in A^{0,1}(M, C)$  by

$$(6.2) \quad \eta_{(z_1, z_2)} = \begin{cases} \bar{\partial}(\bar{z}_2/(z_1 r^2)) & \text{when } z_1 \neq 0, \\ -\bar{\partial}(\bar{z}_1/(z_2 r^2)) & \text{when } z_2 \neq 0, \end{cases}$$

where  $r^2 = |z_1|^2 + |z_2|^2$ .  $\eta$  is well-defined (but not  $\bar{\partial}$ -cohomologous to zero) by [2, p. 30]. We now calculate  $f^*\eta - \eta$ . If  $z_1 \neq 0$ , then

$$f^*\eta_{(z_1, z_2)} = \bar{\partial}(\bar{z}_2/(z_1 r^2) \circ f),$$

and therefore

$$(6.3) \quad f^* \eta_{(z_1, z_2)} = \bar{\partial} \left( \frac{\overline{Bz_2}}{Az_1(|Az_1|^2 + |Bz_2|^2)} \right), \quad \text{if } z_1 \neq 0.$$

If  $f^* \eta - \eta = \bar{\partial} h$  for some  $h : M \rightarrow C$ , then for  $z_1 \neq 0$ , (6.2) and (6.3) imply

$$(6.4) \quad \bar{\partial} h = \bar{\partial} \left( \frac{\overline{Bz_2}}{Az_1(|Az_1|^2 + |Bz_2|^2)} - \frac{\bar{z}_2}{z_1(|z_1|^2 + |z_2|^2)} \right).$$

If we let  $g : M \rightarrow C$  be given by

$$(6.5) \quad g(z_1, z_2) = z_1 h(z_1, z_2) - \left( \frac{\overline{Bz_2}}{A(|Az_1|^2 + |Bz_2|^2)} - \frac{\bar{z}_2}{|z_1|^2 + |z_2|^2} \right),$$

then for  $(z_1 \neq 0)$  we have, from (6.4),

$$\bar{\partial}(g/z_1) = \bar{\partial} h - \bar{\partial} h = 0.$$

$g(z_1, z_2)$  is therefore holomorphic for  $z_1 \neq 0$ . Since  $g$  is locally bounded on  $M - X$  where  $X = \{(z_1, z_2) \in C^2 \mid z_1 = 0\}$  and  $X$  is thin, we may apply the Riemann extension theorem [2, p. 19] and conclude that  $g : M \rightarrow M$ . Since a point is a removable singularity in  $C^n$  ( $n > 1$ ),  $g$  must be a holomorphic map of  $C^2$  to  $C^2$ . However, by the form of  $g$  given by (6.5) we have

$$g(0, z_2) = \frac{1}{z_2} - \frac{1}{ABz_2},$$

which is not holomorphic at  $z_2 = 0$  since  $AB \neq 1$ . Therefore (6.1) cannot hold in this case. Because  $M$  is simply connected,  $M$  has the exponential lift property with respect to  $C^*$  [7, Proposition 2.2.2], and so Corollary 6.1 implies

**Corollary 6.2.** *There exists a real product bundle which does not have a holomorphic connection; in particular, the Atiyah obstruction is not a topological invariant.*

Note also that  $C^2 - \{0, 0\}$  is a Kähler manifold, so compactness cannot be dropped from [7, Theorem 3.1.7].

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